

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT  
APPLICATION**COMPLETION Under  
Rule 53(f)****Attn: Application Division****PATENT APPLICATION**

PATENT APPLICATION of

Inventor(s): KORENJAK et al.

Appln. No.: 09

Series Code ↑

944,159

Serial No. ↑

Atty.Dkt.

P

283120

RP-00191-US8

M#

Client Ref

Filed: September 4, 2001

Title: CONTINUOUSLY VARIABLE TRANSMISSION FOR AN INTERNAL COMBUSTION ENGINE

Hon. Commissioner of Patents  
Washington, DC 20231

Date: November 1, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts**  copy attached  not yet received

2.  Signed Declaration attached.  Original  Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and  
 "X" box 2A only if top box of the Declaration is X'd and file application copy, or  
 "X" box 2B only if none of the top three boxes of the Declaration is X'd.)

2A.  Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.

2B.  The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3.  Specification originally filed in non-English language; hence verified translation attached of:  
 a.  Abstract  
 b. #  pages of Specification(only spec. & claims)  
 c.  Drawing(s) No of Sheets  
 Fig(s). \_\_\_\_\_

4.  Letter filing formal drawing attached.

5.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/229,338	September 1, 2000	(2) 60/263,501	January 24, 2001
(3) 60/316,030	August 31, 2001	(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in \_\_\_\_\_

8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

## Completion Under Rule 53(f)

9. \_\_\_\_\_ (No.) Certified copy (copies):  attached;  previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_

10. Small Entity Status   is Not claimed  is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11.  Attached:

12.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

			Large/Small Entity		Fee Code
13. Basic Filing Fee .....	.....	Design Application Not Design Application	\$330/\$165 \$740/\$370	+740	106/26 101/201
14. Total Effective Claims	54	minus 20 =	34	x \$18/\$9	+612
15. Independent Claims	5	minus 3 =	2	x \$84/\$42	+168
16. If <u>any</u> proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)			\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late			\$130/\$65	+130	105/205
18.			<b>FILING FEE ENCLOSED =</b>	<b>\$1650</b>	
19. <u>Original due date:</u>	December 10, 2001				
20. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached		(1 mo) (2mos) (3mos) (4mos)	\$110/\$55 = \$400/\$200 = \$920/\$460 = \$1,440/\$720 =	+0	115/215 116/216 117/217 118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....			\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee. ....			\$40	+40	581
23. Petition Fee for			\$130	+0	
24.			<b>TOTAL FEE ENCLOSED =</b>	<b>\$1690</b>	

Our Deposit Account No. 03-3975

Our Order No. 009919

0283120

C#

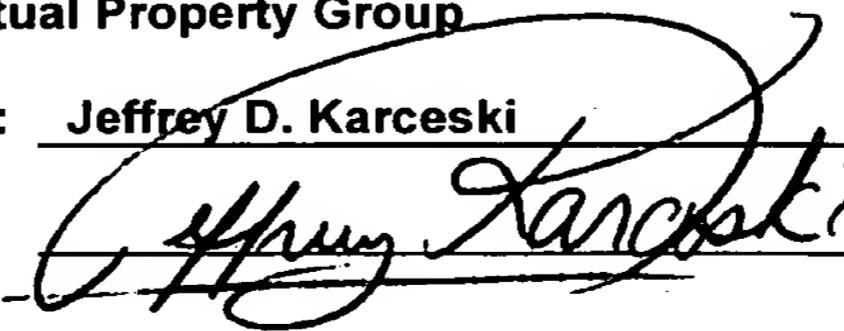
M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP  
Intellectual Property Group

1600 Tysons Boulevard  
McLean, VA 22102  
Tel: (703) 905-2000

Atty/Sec: JDK/SMW

By Atty: Jeffrey D. KarceskiReg. No. 35914Sig: Fax: (703) 905-2500  
Tel: (703) 905-2110NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments

#3: Section



## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

NOV 01 2001

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/944,159

09/04/2001

Norbert Korenjak

P 283120 RP-00191-US8

11/02/2001 NMDHAMM1 00000035 09944159

CONFIRMATION NO. 9085

 00909  
 PILLSBURY WINTHROP LLP  
 1600 TYSONS BOULEVARD  
 MCLEAN, VA 22102

 01 FC:101  
 02 FC:103  
 03 FC:102  
 04 FC:105

 740.00 OP  
 612.00 OP  
 168.00 OP  
 130.00 OP

FORMALITIES LETTER



\*OC000000006851713\*

Date Mailed: 10/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$772.
  - \$612 for 34 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1612.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

11/02/2001 NMDHAMM1 00000035 09944159

 01 FC:101  
 02 FC:103  
 03 FC:102  
 04 FC:105

 740.00 OP  
 612.00 OP  
 168.00 OP  
 130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Beth T. Bini

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE